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OMB APPROVAL

OMB Number: 3235-0504

SExpires: August 31, 2010

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Section

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

FEB 02 2018

Information Required of a Self-Regulatory Organization Listing and Ending a New C Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

## Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdag Stock Market LLC (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.); Open End Management Investment Company
- 3. Class of New Derivative Securities Product: **Exchange Traded Fund**
- 4. Name of Underlying Instrument: Military Veterans Index
- If Underlying Instrument is an Index. State Whether it is Broad-Based or Narrow-Based: **Broad-based**
- Ticker Symbol(s) of New Derivative Securities Product: HONR
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE
- Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A



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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Title:

**Assistant Corporate Secretary** 

Telephone Number:

301-978-8736

Manual Signature of Official Responsi

Section Rule

Act

Public

Availability:

FFR 0 2 2018

Securities Exchange Act of 1934

Date: January 30, 2018